

FundZone Investment Funds

Transfer Authority for Re-registration



Consultant Code – For Standard Life use only

FZMF41

Please complete all sections of the form correctly or the transfer request may incur delay or may be rejected.

When we say 'Standard Life', 'we', or 'us' we mean 'Standard Life Savings Limited'.

If you need any help in completing this form, please contact us on 0845 279 2002. (Call charges may vary)

Filling in this form

Use this form only for in-specie re-registration of unwrapped Unit Trusts and OEICs to FundZone.

Please fill in this form in black ink and BLOCK CAPITALS. Don't use correction fluid if you make a mistake. If you need to fix a mistake, please initial any changes you make.

Put a cross in the relevant box when you're asked to make your choice. If you are receiving advice from a financial adviser, you should remember that the financial adviser is acting on your behalf not only by giving you advice, but also regarding completing this form.

Part 1 – Client(s) details

Please provide Client Name(s) as they are registered with existing Fund Manager or Fund Supermarket.

Account Holder(s) (First Shareholder)

Title (Mr/Mrs/Miss/Ms/Other eg Dr/Rev) First name(s) in full Surname

Date of birth (DD/MM/YYYY) National Insurance number

Male Female

Permanent UK residential address

Postcode

Second Account Holder

Third Account Holder

Fourth Account Holder

Part 2 – Identifying existing clients

Do you have any existing investments with Standard Life Savings Limited on Wrap, FundZone or sigma? Yes No

If yes, please provide your Existing Client Reference number

Part 3 – Choice of Fund Manager or Fund Supermarket holdings to be re-registered onto FundZone

Name of Fund Manager or Fund Supermarket

Address of Fund Manager or Fund Supermarket

Postcode

Client(s) account reference number

Client(s) account sub references

Please note: You can choose only one Fund Manager or Fund Supermarket per Transfer Authority form. (Please complete a separate Transfer Authority form for each Fund Manager or Fund Supermarket).

If your existing holdings are not held with the Fund Managers listed on www.adviserzone.com, we **may not be able to re-register** your holdings onto FundZone.

If your existing holdings are with a Fund Manager or Fund Supermarket that does not allow in-specie re-registration, we **will not be able to re-register** your holdings onto FundZone.

Part 4 – Details of Funds to be re-registered (unless stated otherwise all units will be re-registered)

Important:

Please note that the fund Citicode and the full fund name must be included on this form, as shown in the example opposite, in order for us to process your application.

Please also make sure you complete the Income or Accumulation column. For a full list of Citicodes, please see document FZMF22a (Mutual Funds List including Charges and Commission).

Citicode				Fund name	Inc/Acc
X	X	O	O	Standard Life Investments Higher Income (EXAMPLE)	eg. Inc

Please continue on a separate sheet if necessary.

Part 5 – Declaration

- a. I hereby transfer my entire holdings in the funds listed in Part 4, with immediate effect to FundZone, FNZ (UK) Nominees Ltd, 20-22 Bedford Row, London, WC1R 4JS, A/C Standard Life.
- b. I authorise Standard Life Savings Limited to submit all information contained in this form to the Registrar of the Fund Manager as detailed in Part 3 and request the register be updated to give effect to this transfer.
- c. I confirm that the re-registration of funds listed will not change the beneficial ownership from or amongst the current account holder(s).
- d. I confirm that this transaction is exempt from Stamp Duty Reserve Tax by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999.
- e. I confirm that the information given is correct to the best of my knowledge and belief.

Signatures – All joint holders (maximum of four) must sign the form.

First Holder	
Capacity if applicable	Date (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Second Holder	
Capacity if applicable	Date (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Third Holder	
Capacity if applicable	Date (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Fourth Holder	
Capacity if applicable	Date (DD/MM/YYYY) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Part 6 – Next Steps (for Fund Manager or Fund Supermarket only)

Please send a letter confirming that re-registration has been processed successfully or explain reasons for rejection to:

Standard Life Savings Limited	AND	FNZ (UK) Nominees Ltd
FundZone Customer Centre		A/C Standard Life
20 Brandon Street		20-22 Bedford Row
Dundas House		London
Edinburgh		WC1R 4JS
EH3 5PP		

Standard Life Savings Limited (SC180203) is registered in Scotland at Standard Life House, 30 Lothian Road, Edinburgh EH1 2DH and is authorised and regulated by the Financial Services Authority. www.standardlife.co.uk 0845 279 2002. Calls may be recorded/monitored.